

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Childress et al.	§
Serial No.: Not Assigned	§ Group Art Unit: Not Assigned
Filed: _____	§ Examiner: Not Assigned
For: Method and Apparatus for	§ Attorney Docket No.: AUS920031002US1
Non-Invasive Discovery of Relationships	§
Between Nodes in a Network	§

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

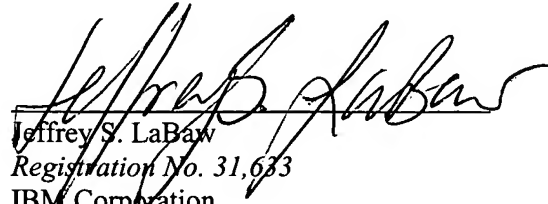
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: 1/5/04

Respectfully submitted,


Jeffrey S. LaBaw
Registration No. 31,633
IBM Corporation
Intellectual Property Law
11400 Burnet Road
Austin, Texas 78757
(512) 823-0494

Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. AUS920031002US1		SERIAL NO. N t Assigned	
			APPLICANT Childress et al.			
			FILING DATE		GROUP ART UNIT Not Assigned	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.		PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA	5,720,018	Feb. 17, 1998	Muller et al.	395/133	Sep. 25, 1995
	AB	5,455,952	Oct. 3, 1995	Gjovaag	395/700	Mar. 28, 1995
	AC	6,499,034 B1	Dec. 24, 2002	Mackinlay	707/102	Oct. 7, 1999
	AD	6,182,136 B1	Jan. 30, 2001	Ramanathan et al.	709/224	Sep. 8, 1998
	AE	5,596,703	Jan. 21, 1997	Eick et al.	395/326	Oct. 22, 1993
	AF	2003/0033402	Feb. 13, 2003	Battat et al.	709/224	Apr. 7, 2000
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.		PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
OTHER PRIOR ART <i>(including author, title, date, pertinent page, etc.)</i>						
	AG	Plummer, "An Ethernet Address Resolution Protocol –or– Converting Network Protocol Addresses to 48.bit Ethernet Address for Transmission on Ethernet Hardware", Network Working Group, November 1982, 10 pages.				
RELATED PATENT APPLICATIONS						
EXAMINER INITIAL		APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE	
	AH	AUS920030939US1	Childress et al.	Method and Apparatus For Supporting Transactions		
DATE CONSIDERED				EXAMINER		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						